



An Introduction to Forces in Quantum Monte Carlo

Literature Review - Problems and Challenges -
and what we hope to do about them

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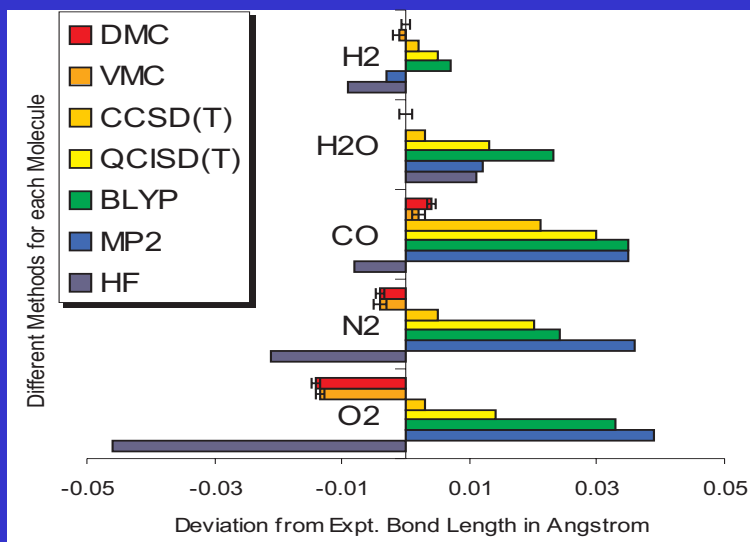
Outline

1. Motivation
2. The Hellmann-Feynman Theorem (HFT)
 - HFT and QMC (all electrons, pseudopots)
 - Some First Benchmark Results for H_2
3. Review of Forces and QMC
 - Forces via correlated sampling
 - Forces via renormalization
 - Forces via „smart projections“
4. Our approach: using Pseudopotentials, and a generalized version of previous projection technique

Why Forces with QMC ?

[in Å]	this work		references				
	Δr_{HF}	Δr_{DMC}	$\Delta r_{\text{HF(ref.)}}$	Δr_{BLYP}	Δr_{MP2}	Δr_{QCISD}	$\Delta r_{\text{CCSC(T)}}$
H ₂	-0.009	0.0004(6)	-0.011/-0.007	0.007	-0.003	0.005	0.002
N ₂	-0.021	-0.0040(6)	-0.016/-0.017	0.024	0.036	0.020	0.005
O ₂	-0.046	-0.0140(4)	-0.039/-0.048	0.033	0.039	0.014	0.003
CO	-0.008	0.0040(8)	-0.001/-0.005	0.035	0.035	0.030	0.021
H ₂ O	-0.011	0.000(1)	-0.010/-0.011	0.023	0.012	0.013	0.003

[in °]	this work		references				
	$\Delta 2\theta_{\text{HF}}$	$\Delta 2\theta_{\text{DMC}}$	$\Delta 2\theta_{\text{HF(ref.)}}$	$\Delta 2\theta_{\text{BLYP}}$	$\Delta 2\theta_{\text{MP2}}$	$\Delta 2\theta_{\text{QCISD}}$	$\Delta 2\theta_{\text{CCSC(T)}}$
H ₂ O	2.74	0.8(1)	0.99/1.42	-1.81	-0.51	-0.51	-0.91



Accurate Quantum Chemistry Methods are computationally demanding

DFT is often the only option for larger systems

Making QMC a „stand alone“ method

Ref.: Badinski, Diplomarbeit, Technische Universität Berlin (2003)

Geometries without Forces in QMC

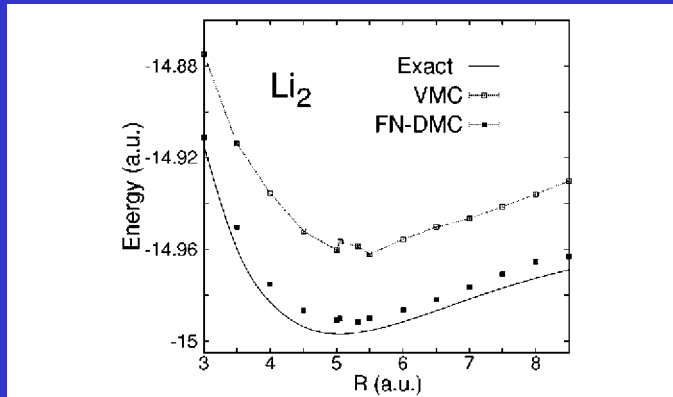
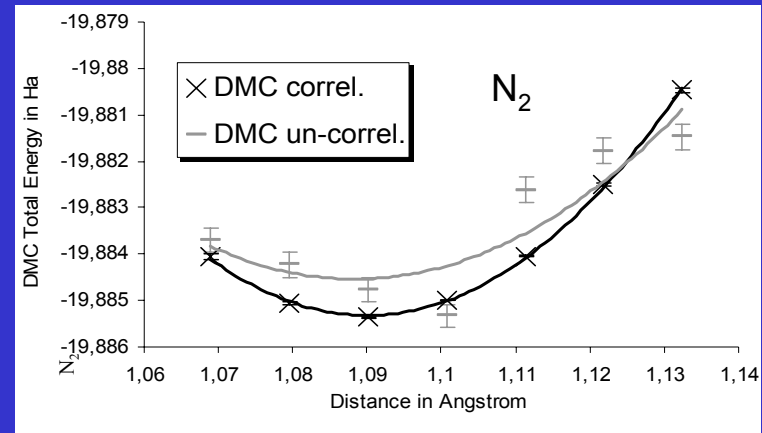


FIG. 3. Li_2 molecule. Variational Monte Carlo (VMC) energies (open squares), fixed-node diffusion Monte Carlo (DMC) energies (closed squares), and exact nonrelativistic curve (solid line). The dotted line between VMC results is a simple linear interpolation to guide the eye.

Ref.: R. Assaraf, M. Caffarel, J. Chem. Phys. 119 10536 (2003)



Question: what is meant under equilibrium geometry ? Ambiguous! Why ?

Consider H_2 : $R_e = 0.7417 \text{ \AA}$ (Min of Born-Oppenheimer Surface)

$R_0 = 0.7505 \text{ \AA}$ (expectation value of bond length in ground state)

$R_0 - R_e \cong 0.01 \text{ \AA}$

Convention in optical spectroscopy: use R_e

Hellmann-Feynman Theorem (HFT)

$$\text{Total Energy: } E_{VMC} = \frac{\langle \Psi_T | H | \Psi_T \rangle}{\langle \Psi_T | \Psi_T \rangle} \quad \text{Total Force: } F_{VMC} |_R = -\nabla_R E_{VMC} |_R$$

HFT^{1,2}: If the wavefunction Ψ_T is the exact one, i.e. $\Psi_T = \Psi_0$, the energy gradient is the average of the expectation value of the gradient of H.

$$-F_R^{HFT} = \nabla_R E_0 |_R = \frac{\langle \Psi_0 | \nabla_R H | \Psi_0 \rangle}{\langle \Psi_0 | \Psi_0 \rangle} |_R$$

Proof: (using that Ψ_0 is real and H is Hermitian)

$$\begin{aligned} \nabla_R E_T &= \frac{\langle \Psi_T | \nabla_R H | \Psi_T \rangle}{\langle \Psi_T | \Psi_T \rangle} + \frac{\langle \Psi_T' | H | \Psi_T \rangle}{\langle \Psi_T | \Psi_T \rangle} + \frac{\langle \Psi_T | H | \Psi_T' \rangle}{\langle \Psi_T | \Psi_T \rangle} \\ &\quad - \frac{\langle \Psi_T | H | \Psi_T \rangle}{\langle \Psi_T | \Psi_T \rangle^2} (\langle \Psi_T' | \Psi_T \rangle + \langle \Psi_T | \Psi_T' \rangle) + \sum_k \frac{\partial E_v}{\partial c_k} \frac{\partial c_k}{\partial R} \\ &= \underbrace{\frac{\langle \Psi_0 | \nabla_R H | \Psi_0 \rangle}{\langle \Psi_0 | \Psi_0 \rangle}}_{F_R^{HFT}} + \underbrace{2 \frac{\langle \Psi_T' | H | \Psi_T \rangle}{\langle \Psi_T | \Psi_T \rangle} - 2 \frac{\langle \Psi_T | H | \Psi_T \rangle}{\langle \Psi_T | \Psi_T \rangle^2} \langle \Psi_T' | \Psi_T \rangle}_{F_R^{Pulay}} + \underbrace{\sum_k \frac{\partial E_v}{\partial c_k} \frac{\partial c_k}{\partial R}}_{F_R^c} \end{aligned}$$

In the limit $\Psi_T \rightarrow \Psi_0$, all terms cancel except for F_R^{HFT} . QED

Hence, using the HFT is only an approximation – with a few exceptions...

¹ H. Hellmann, Einführung in die Quantenchemie, Franz Deuticke, Leipzig (1937)

² R. Feynman Phys. Rev. 41 721 (1939)

The HFT in Hartree Fock

The HFT forces is the exact force in Hartree Fock in the limit of a complete basis

Note: - the same is true for DFT

- “exact” in the sense of Hartree-Fock of course

Proof: Start with the total Hartree Fock Energy

$$E^{HF} = \langle \Psi^{SD} | H | \Psi^{SD} \rangle = \sum_{\nu\mu} P_{\nu\mu} H_{\nu\mu}^{core} + \frac{1}{2} \sum_{\nu\mu\lambda\sigma} P_{\nu\mu} P_{\lambda\sigma} (\langle \nu\mu | \lambda\sigma \rangle - \frac{1}{2} \langle \nu\sigma | \lambda\mu \rangle) \quad (1)$$

with

$$H_{\nu\mu}^{core} = \int dr_i \phi_\mu(i) \left(-\frac{1}{2} \Delta - \sum_A \frac{Z_A}{|r_i - R_A|} \right) \phi_\nu(i)$$

$$P_{\nu\mu} = 2 \sum_{n=1}^{N/2} C_{\mu n} C_{\nu n} \quad \psi_i = \sum_{\mu=1}^K C_{\mu i} \phi_\mu$$

$$\langle \nu\mu | \lambda\sigma \rangle = \int dr_i dr_j \phi_\nu(i) \phi_\mu(i) r_{ij}^{-1} \phi_\lambda(j) \phi_\sigma(j)$$

Now, get Force from eq.(1),

$$\nabla_R E^{SD} = \sum_{\mu\nu} P_{\mu\nu} \nabla_R H_{\nu\mu}^{core} + O(\text{derivatives of } \phi_\mu \text{ wrt } R)$$

with $\nabla_R H_{\nu\mu}^{core} = \nabla_R \langle \phi_\nu | H^{core} | \phi_\mu \rangle = \langle \phi_\nu | \nabla_R H^{core} | \phi_\mu \rangle + \langle \phi_\nu' | H^{core} | \phi_\mu \rangle + \langle \phi_\nu | H^{core} | \phi_\mu' \rangle$

Hence, in the limit of a complete basis set (that e.g. does not depend on the atomic coordinates), the last equation simplifies to

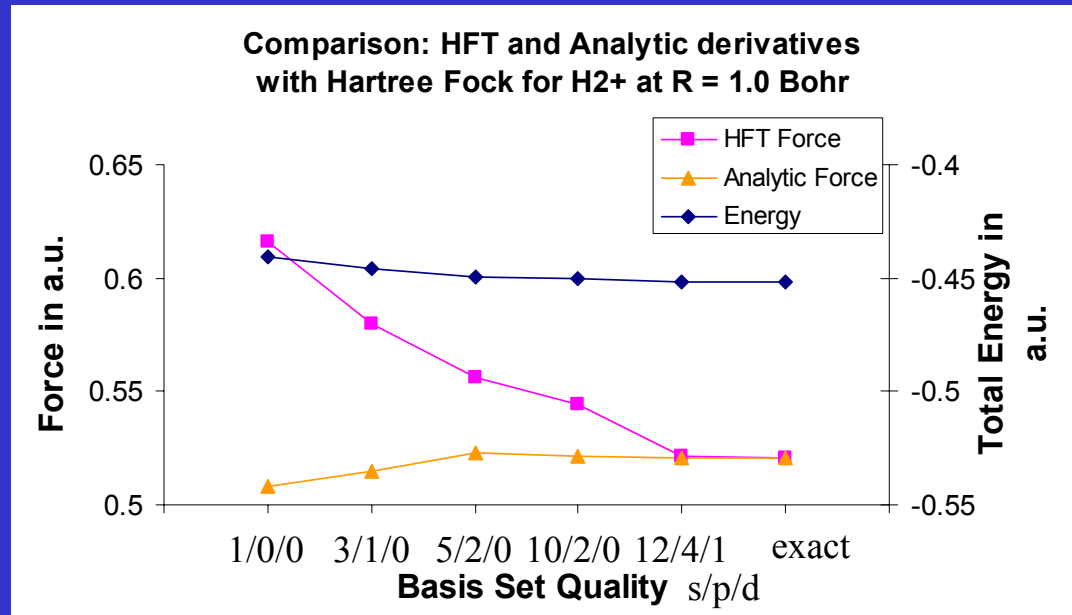
$$\nabla_R E^{SD} = \sum_{\mu\nu} P_{\mu\nu} \langle \phi_\nu | \nabla H^{core} | \phi_\nu \rangle$$

which is the HFT for Hartree-Fock.

QED

This is a quite remarkable result that HFT not only holds for exact $\Psi_T = \Psi_0$ but also for $\Psi_T = \Psi^{SD}$ in the basis set limit !!!

The HFT in Hartree-Fock



Data taken from ref.: B. Hammond, et. al., Monte Carlo Methods, World Scientific (1994)

Total Hartree Fock energy (blue) and consequently its analytic derivative (yellow) converge much faster with the basis set size than the HFT force (purple). (more to say about that in QMC later !!!)

Exact Forces in VMC

From before:
$$\nabla_R E_{VMC} = \frac{\langle \Psi_T | \nabla H | \Psi_T \rangle}{\langle \Psi_T | \Psi_T \rangle} + 2 \frac{\langle \Psi_T' | H | \Psi_T \rangle}{\langle \Psi_T | \Psi_T \rangle} - 2 \frac{\langle \Psi_T | H | \Psi_T \rangle}{\langle \Psi_T | \Psi_T \rangle^2} \langle \Psi_T' | \Psi_T \rangle + \sum_k \frac{\partial E_{VMC}}{\partial c_k} \frac{\partial c_k}{\partial R}$$

First, assume:
$$\sum_k \frac{\partial E_{VMC}}{\partial c_k} \frac{\partial c_k}{\partial R} = 0$$

Critical assumption, since we generally use variance minimization, Ref.[1]

Using identity:
$$\langle \Psi_T' | H | \Psi_T \rangle = \int \Psi_T' H \Psi_T d\mathbf{r} = \int \Psi_T' \frac{\Psi_T}{\Psi_T} (H \Psi_T) \frac{\Psi_T}{\Psi_T} d\mathbf{r} = \langle \Psi_T' | \frac{\Psi_T'}{\Psi_T} E_L | \Psi_T \rangle$$

we get:
$$\nabla_R E_{VMC} = \frac{1}{\langle \Psi_T | \Psi_T \rangle} \langle \Psi_T | \nabla H + 2E_L \frac{\Psi_T'}{\Psi_T} - 2E_{VMC} \frac{\Psi_T'}{\Psi_T} | \Psi_T \rangle \quad (2)$$

with
$$\nabla H = \sum_{\alpha,i} \nabla \frac{-Z_\alpha}{|\mathbf{r}_i - \mathbf{R}_\alpha|} + \sum_{\alpha,\beta} \nabla \frac{Z_\alpha Z_\beta}{|\mathbf{R}_\alpha - \mathbf{R}_\beta|} = \sum_{\alpha,i} Z_\alpha \frac{\mathbf{r}_i - \mathbf{R}_\alpha}{|\mathbf{r}_i - \mathbf{R}_\alpha|^3} - \underbrace{\sum_{\alpha,\beta} Z_\alpha Z_\beta \frac{\mathbf{R}_\alpha - \mathbf{R}_\beta}{|\mathbf{R}_\alpha - \mathbf{R}_\beta|^3}}_{=: \Pi(\text{ion})}$$

Define local force:

$$F_L = F_L^{HFT} + F_L^{Pulay}$$

with the local HFT force

$$F_L^{HFT} := -\nabla H$$

and local „Pulay“ correction force:

$$F_L^{Pulay} := -2(E_L - E_{VMC}) \frac{\Psi_T'}{\Psi_T}$$

Final expression used for MC integration:

$$\langle F_L \rangle |_{\Psi_T^2} = \left\langle -\sum_{i,\alpha} Z_\alpha \frac{\mathbf{r}_i - \mathbf{R}_\alpha}{|\mathbf{r}_i - \mathbf{R}_\alpha|^3} - 2(E_L - E_{VMC}) \frac{\Psi_T'}{\Psi_T} \right\rangle |_{\Psi_T^2} + \Pi(\text{ion}) \quad (3)$$

¹ M. Lee, M. Mella, A. Rappe, J. Chem. Phys. 122 244103 (2005)

Problem - Infinite Variance in F_L^{HFT}

Relevance

Variance: $\sigma(F_{L,i})^2 = \langle (F_{L,i}^{HFT})^2 \rangle - \langle F_{L,i}^{HFT} \rangle^2$

with $F_{L,i}^{HFT} = (-\nabla H)_i$ $i = 1 \dots 3N$ and $\nabla H = \sum_{\alpha,i} Z_\alpha \frac{\mathbf{r}_i - \mathbf{R}_\alpha}{|\mathbf{r}_i - \mathbf{R}_\alpha|^3} - \sum_{\alpha,\beta} Z_\alpha Z_\beta \frac{\mathbf{R}_\alpha - \mathbf{R}_\beta}{|\mathbf{R}_\alpha - \mathbf{R}_\beta|^3}$

Illustration of the Problem:

consider F_L^{HFT} of H atom in x direction at $\mathbf{R} = 0$ (average must be 0; $\star = e^{-\alpha r}$)

$$\sigma^2 = \langle \frac{x^2}{r^6} \rangle - \langle \frac{x}{r^3} \rangle^2 = \langle \frac{\hat{x}^2}{r^4} \rangle = \int_{\Omega} (\cos\phi \sin\phi)^2 \sin\phi d\phi d\phi \int_0^\infty \frac{r^2}{r^4} e^{-2\alpha r} dr \rightarrow \infty$$

Possible Solutions:

- Not sample forces with QMC !
- Cut out sampling region around nucleus
- Use pseudopotentials to eliminate $1/r^2$ term close to the nucleus
- Renormalize Variance to finite value
- Projecting out $l = 0$ component of the charge density at the nucleus

Exact Forces in DMC

For the **Mixed Estimator**:

$$\begin{aligned}
 -\langle F_L \rangle_{\Psi_0 \Psi_T} &= \nabla \frac{\langle \Psi_T | H | \Psi_0 \rangle}{\langle \Psi_T | \Psi_0 \rangle} \\
 &= \frac{\langle \Psi_T | \nabla H | \Psi_0 \rangle}{\langle \Psi_T | \Psi_0 \rangle} + \frac{\langle \Psi_T' | H | \Psi_0 \rangle}{\langle \Psi_T | \Psi_0 \rangle} + \frac{\langle \Psi_T | H | \Psi_0' \rangle}{\langle \Psi_T | \Psi_0 \rangle} - E_0 \left(\frac{\langle \Psi_T' | \Psi_0 \rangle}{\langle \Psi_T | \Psi_0 \rangle} + \frac{\langle \Psi_T | \Psi_0' \rangle}{\langle \Psi_T | \Psi_0 \rangle} \right) \\
 &= \frac{\langle \Psi_T | \nabla H | \Psi_0 \rangle}{\langle \Psi_T | \Psi_0 \rangle} + E_0 \frac{\langle \Psi_T' | \Psi_0 \rangle}{\langle \Psi_T | \Psi_0 \rangle} + \frac{\langle \Psi_T | \frac{(H\Psi_T)\Psi_0'}{\Psi_T\Psi_0} | \Psi_0 \rangle}{\langle \Psi_T | \Psi_0 \rangle} - E_0 \left(\frac{\langle \Psi_T' | \Psi_0 \rangle}{\langle \Psi_T | \Psi_0 \rangle} + \frac{\langle \Psi_T | \frac{\Psi_0'}{\Psi_0} | \Psi_0 \rangle}{\langle \Psi_T | \Psi_0 \rangle} \right) \\
 &= \left\langle \nabla H + (E_L - E_0) \frac{\Psi_0'}{\Psi_0} \right\rangle_{\Psi_T \Psi_0} \quad \leftarrow \text{Useless Expression since } \Psi_0' \text{ unknown} \quad (4)
 \end{aligned}$$

Unpleasant Solution: Use **Pure Estimator**

In this case however the HFT states that HFT force is the exact force:

$$-\langle F_L \rangle_{\Psi_0^2} = \frac{\langle \Psi_0 | \nabla H | \Psi_0 \rangle}{\langle \Psi_0 | \Psi_0 \rangle}$$

Pure Distributions can be obtained by

- Future Walking (considered unstable)
- Reptation QMC (demanding and not much experience)
- Second-order Approximation $\langle F_L^{HFT} \rangle_{DMC \text{ pure}} \cong 2 \langle F_L^{HFT} \rangle_{DMC \text{ mixed}} - \langle F_L^{HFT} \rangle_{VMC}$
should give exact force in the limit $\Psi_T = \Psi_0$.

HFT and Pseudopotentials in QMC

Let us re-express the HFT force for pseudopotentials

$$-\langle F_L^{HFT} \rangle = \langle \Psi_0 | \nabla_R H_{loc} + \nabla_R V_{nloc} | \Psi_0 \rangle$$

$$\begin{aligned} \text{with } \nabla_R H_{loc} &= \sum_i \nabla_R v_{loc,l_{max},i} + \Pi(\text{ion}) & \nabla_R V_{nloc} &= \sum_i \nabla_R v_{nloc,i} \\ &= \underbrace{\int \Psi_0^2 \sum_i \nabla_R v_{loc,l_{max}+1,i} dr}_{=: -\langle F_{loc} \rangle} + \Pi + \underbrace{\int \Psi_0(r_1, \dots, r_i, \dots, r_N) \sum_i \langle r_i | \nabla_R v_{nloc} | r_i' \rangle \Psi_0(r_1, \dots, r_i', \dots, r_N) dr}_{=: -\langle F_{nloc} \rangle} \end{aligned}$$

Local Part $\langle F_{loc} \rangle$

$$\langle F_{loc} \rangle = - \left\langle \sum_{i=1}^N \sum_{\alpha=1}^M \left(\frac{d}{dr_{i\alpha}} v_{loc,l_{max}+1}(r_{i\alpha}) \frac{R_\alpha - r_i}{|R_\alpha - r_i|} \right) \right\rangle_{|\Psi|^2} + \Pi(\text{ion})$$

$$\text{with } \nabla v_{loc,l_{max}+1}(r_{i\alpha}) = \sum_{i=1}^N \sum_{\alpha} \frac{d}{dr_{i\alpha}} v_{loc,l_{max}+1}(r_{i\alpha}) \frac{R_\alpha - r_i}{|R_\alpha - r_i|} \quad \text{and} \quad r_{i\alpha} := |R_\alpha - r_i|$$

To get non-local Part $\langle F_{nloc} \rangle$ we first need to calculate

$$\langle r_i | \nabla_R v_{nloc} | r_i' \rangle = \nabla_R \langle r_i | v_{nloc} | r_i' \rangle = \nabla_R \sum_{l=0}^{l_{max}} \frac{\delta(r_{i\alpha} - r_{i\alpha}')}{r_{i\alpha}^2} v_{l,nloc}(r_{i\alpha}) \frac{2l+1}{4\pi} P_l(\gamma_i)$$

..... (See Overhead)

HFT and Pseudopotentials in QMC

Some Technicalities:

In general (in Casino), $V_{loc,l_{max}+1}$ is give on a grid. Therefore,

$$\frac{d}{dr_{i\alpha}} V_{loc,l_{max}+1}(r_{i\alpha})$$

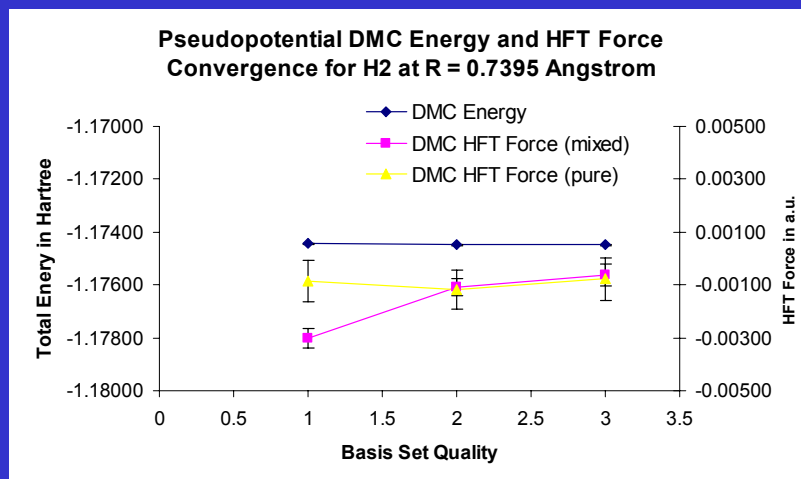
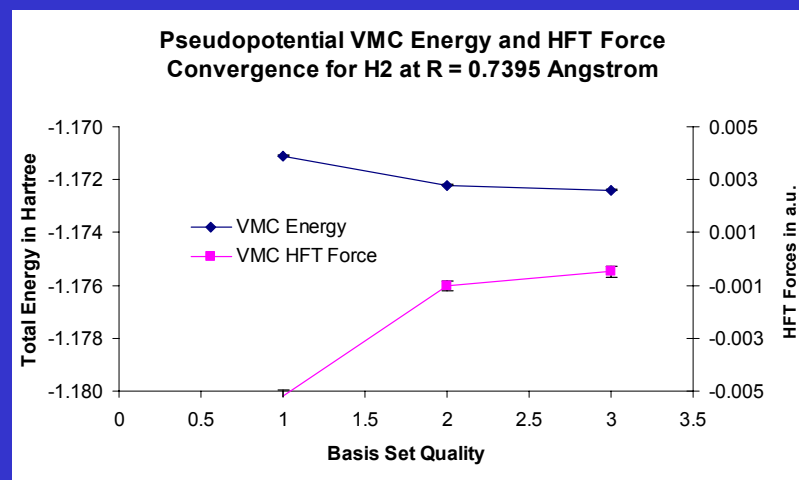
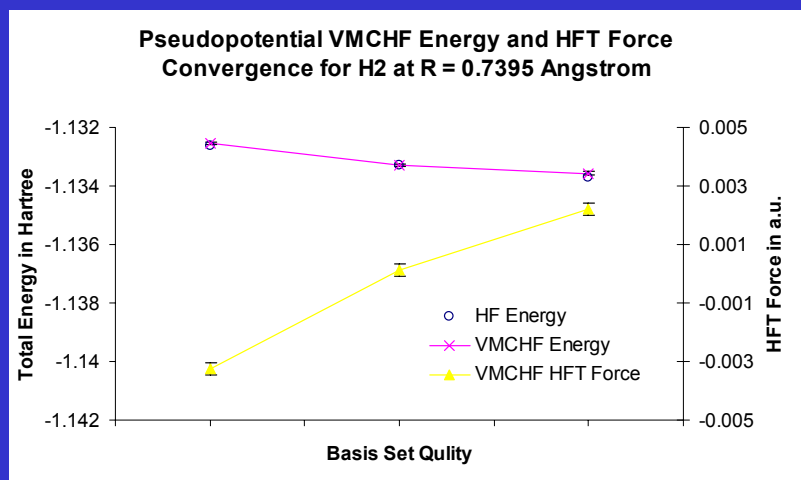
can be obtained by polynomial interpolation: extend Neville's Algorithm¹ to include derivative (thanks to John)

Questions:

1. Which degree of Interpolation to choose ?
2. How good is the numerical interpolation of the derivatives compared to the analytic once ?

¹ Numerical Recipes, p. 99-107

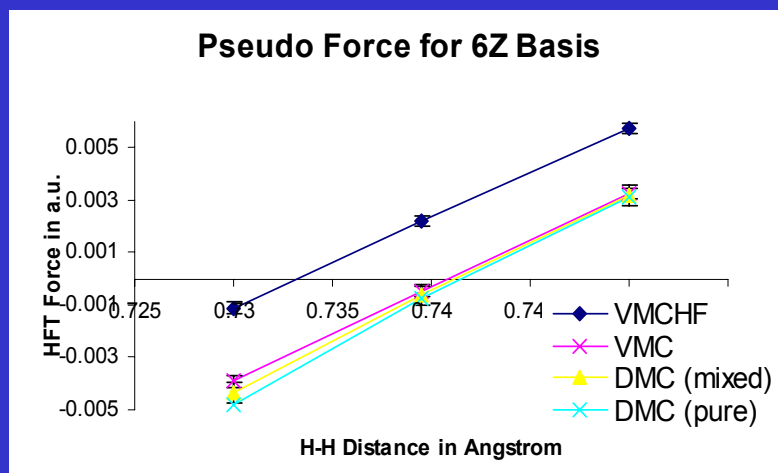
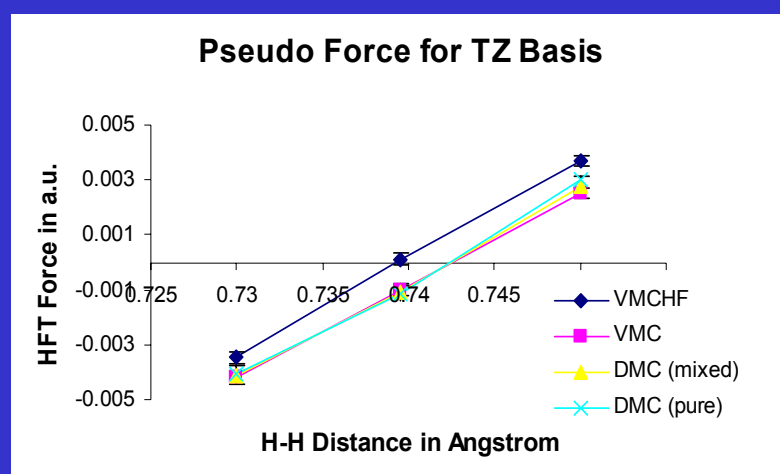
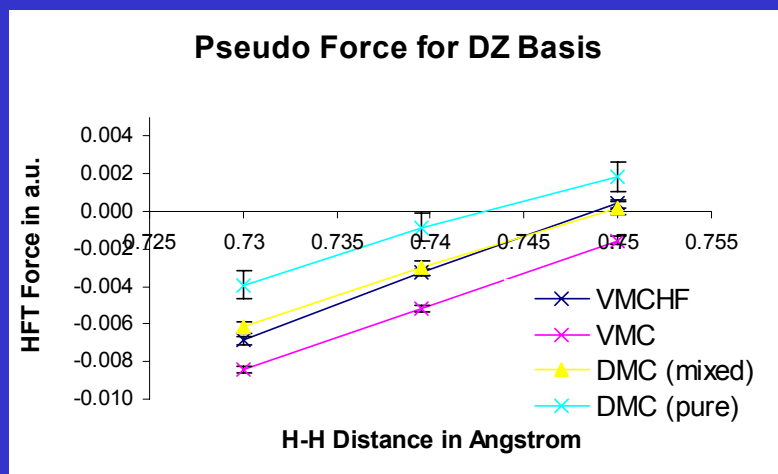
Calculated Pseudopotential Forces for H₂



- In VMCHF, force „overshoots“ as basis set quality increases
- in VMC, Jastrow factor corrects „overshooting of the force“
- DMC pure estimate seems to improve results over mixed one

Different HF basis sets used: cc-pCVDZ (4s,1p), cc-pCVTZ (5s,2p,1d), cc-pCV6Z (10s,5p,4d)

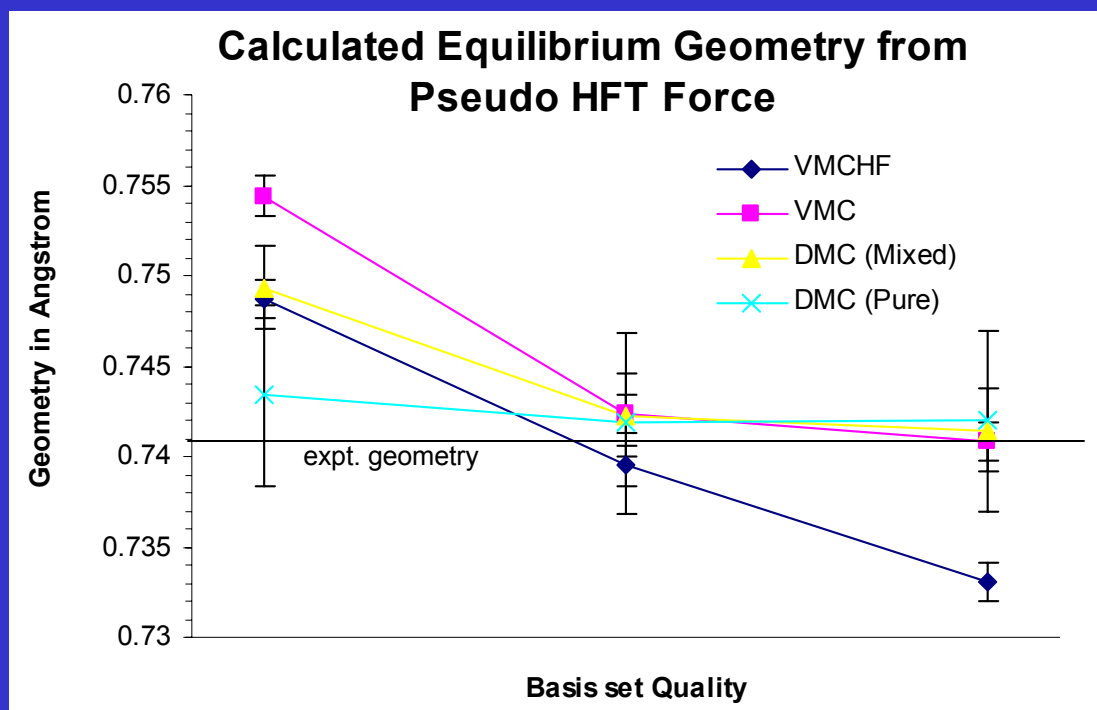
Calculated Pseudopotential Forces for H₂



- For DZ basis, including Jastrow factor might worsen force
- For TZ VMC and DMC agree with each other (same for 6Z basis)
- 6Z basis set force seems to have a closer zero to the experimental value of 0.741 Angstrom

Different HF basis sets used: cc-pCVDZ (4s,1p), cc-pCVTZ (5s,2p,1d), cc-pCV6Z (10s,5p,4d)

Calculated Pseudopotential Forces for H₂



Hartree Fock Geometry obtained from quadratic fit to the (pseudo) total Energy:

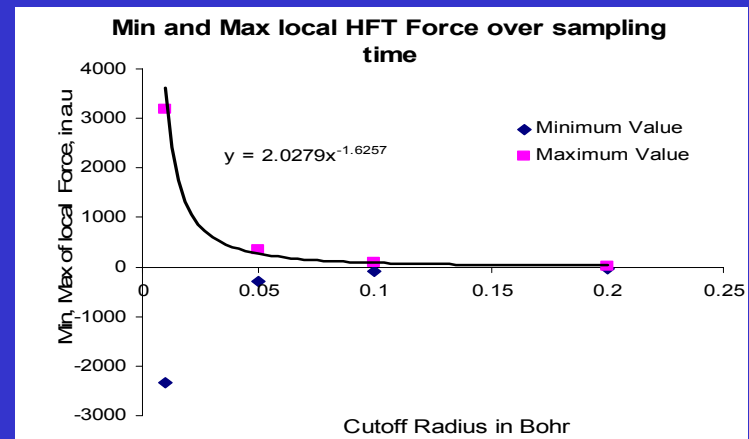
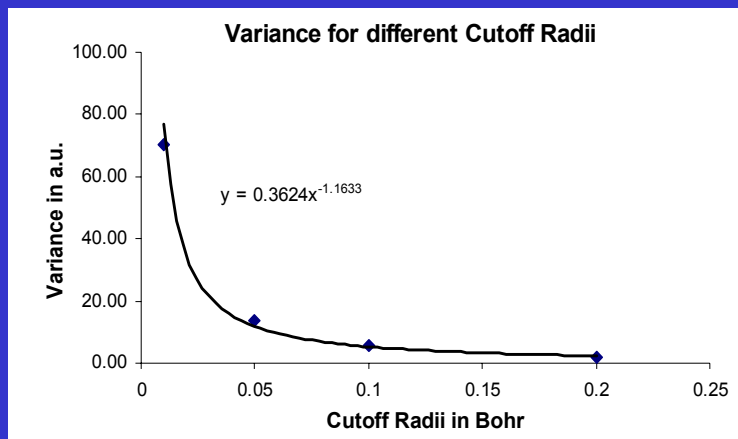
Basis Set	Opt. Geometry [Å]
cc-pCVDZ	0.7332
cc-pCVTZ	0.7338
cc-pCV6Z	0.7335

Seems converged !

- The VMCHF equilibrium geometry from HFT reproduces the equilibrium Geometry from energy minimization \propto HFT seems to reproduce exact force for largest cc-pCVQZ basis set
- For smaller basis set, adding Jastrow may worsens geometry
- For larger basis set, good agreement with experimental geometry

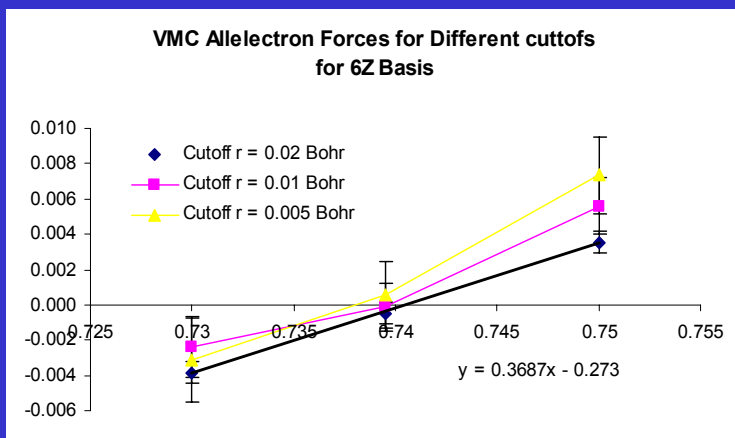
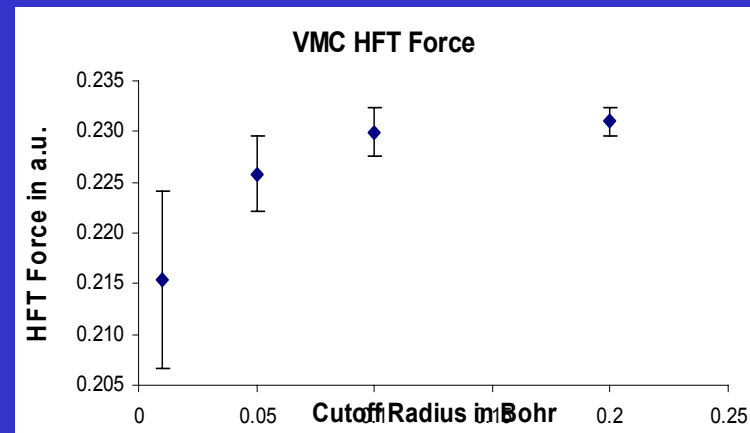
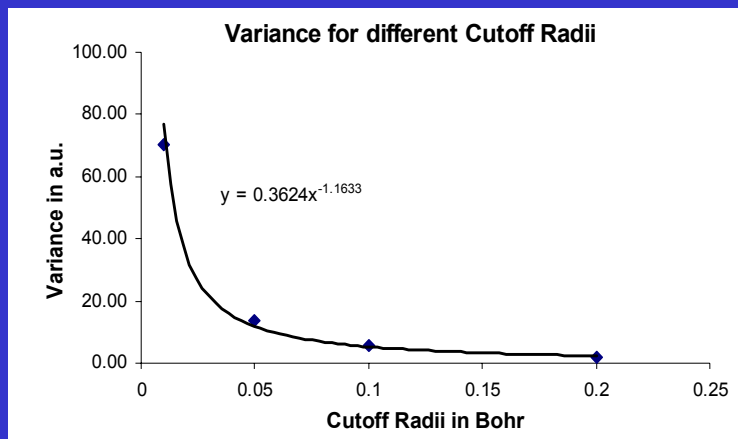
The same for all Electron....

Look at different cutoff radii:
calculate HFT force in VMC for H₂ with 1m moves, 1 block, at 0.7395 Å



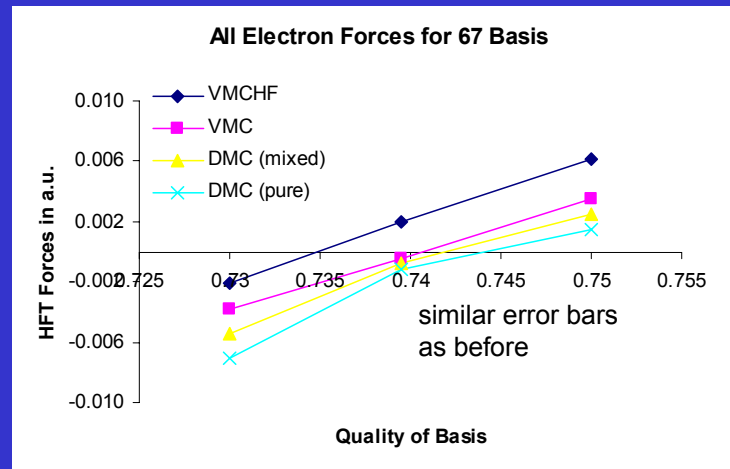
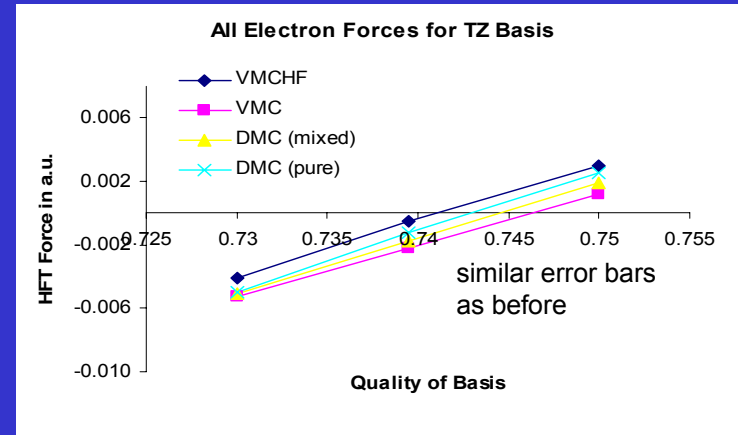
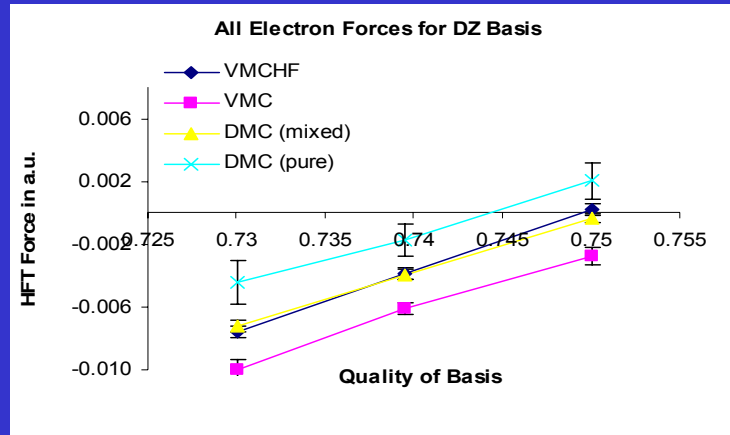
The same for all Electron....

Look at different cutoff radii:
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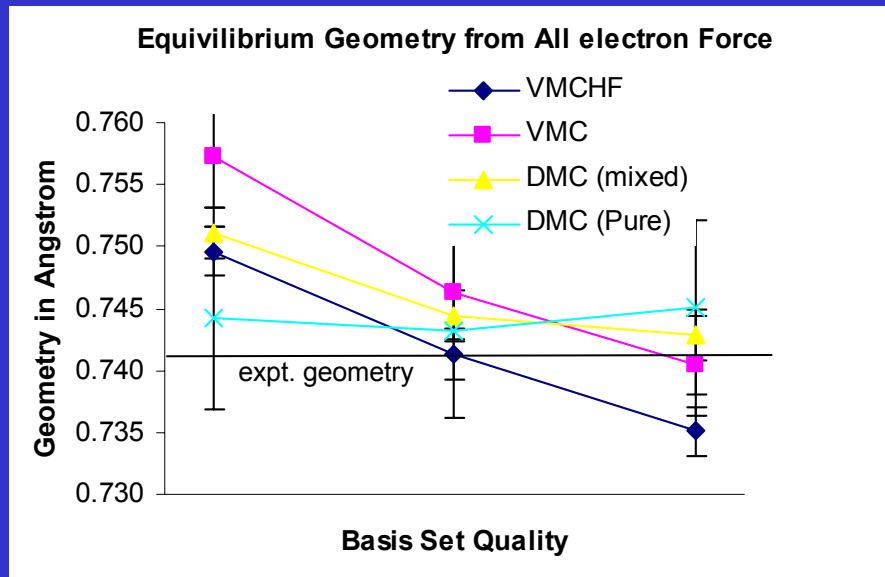
- Diverging variance for $r \rightarrow 0$, hence, the errorbar of my calculated force would diverge
- But force seems to agree within the error bar for all cutoff radii
- Tradeoff of $r = 0.05$ Bohr seems ok, my expectation: similar in DMC

The same for all Electron....



- Again, for DZ basis including Jastrow seems to worsen force
- VMCHF estimate for geometry seems to be converged again for largest basis
- For VMC and DMC not clear ...

The same for all Electron....



- For VMC and DMC (mixed, pure) equilibrium geometry corresponds with expt. For largest basis set
- For VMCHF same results as previously with pseudos
- Again, including Jastrow might worsen force (and hence geometry)
- VMC results seem to be already very good for largest basis set
- DMC (mixed and pure) geometry is less good then VMC (probably due to the still large error bares)

Summary so far

- **Basis set** seems to be crucial for HFT estimator in VMC and mixed and 2nd order approx. pure DMC
- Our **initial hope** to only look at HFT estimator and to neglect the Pulay terms is difficult to justify
- All equilibrium geometries in agreement with experiment for largest basis in their error bars (0.01 Å for 2nd order approx pure DMC).
- **Forces with Pseudopotentials** in QMC seem promising: obtained equilibrium geometry for QMC pseudopotential calculations seem to be in excellent agreement with experiment, and closer to experiment than all electron calculations. Next step (1) include nonlocal components, (2) try other systems.
- Already for H₂, obtaining forces this way is **quite expensive** !!! And other methods will appear to be necessary.

Forces via Correlated Sampling (CS)

Idea of CS: use same configurations to sample different Ψ_T 's

In VMC: Start from $\Psi_T[\alpha]$ and generate $\Psi_T[\beta]$ by looking at small differences in the objective function

Variance Minimization (α represents a set of parameters)

$$\sigma^2[\alpha] = \frac{\int |\Psi_T[\alpha]|^2 (E_L[\alpha] - E_{\text{VMC}})^2 \text{d}\mathbf{r}}{\int |\Psi_T[\alpha]|^2 \text{d}\mathbf{r}} \approx \frac{1}{M_{\text{conf}}} \sum_{i=1}^{M_{\text{conf}}} (E_L[\alpha, \mathbf{r}_i] - E_{\text{VMC}})^2$$

Use CS to express

$$\begin{aligned} \sigma^2[\beta] &= \frac{\int |\Psi_T[\beta]|^2 (E_L[\beta] - E_{\text{VMC}})^2 \text{d}\mathbf{r}}{\int |\Psi_T[\beta]|^2 \text{d}\mathbf{r}} = \\ &= \frac{\int |\Psi_T[\alpha]|^2 (E_L[\beta] - E_{\text{VMC}})^2 \omega[\alpha, \beta] \text{d}\mathbf{r}}{\int |\Psi_T[\alpha]|^2 \omega[\alpha, \beta] \text{d}\mathbf{r}} \approx \frac{\frac{1}{M} \sum_{i=1}^M (E_L[\beta, \mathbf{r}_i] - E_{\text{VMC}})^2 \omega[\alpha, \beta]}{\sum_{i=1}^M \omega[\alpha, \beta]} \end{aligned}$$

with $\omega[\alpha, \beta] = \frac{\Psi[\beta]^2}{\Psi[\alpha]^2}$, using the same set of configurations $\{\mathbf{r}_i\}$.

Forces via CS in VMC

Geometry Optimization¹ (α represents set of nuclear coordinates $\{\mathbf{R}_n\}$)

For the reference geometry $\{\mathbf{R}_n^{\text{ref}}\} = \alpha$

$$E_{\text{VMC}}[\alpha] = \frac{\int |\Psi_T[\mathbf{r}, \alpha]|^2 E_L[\mathbf{r}, \alpha] d\mathbf{r}}{\int |\Psi_T[\mathbf{r}, \alpha]|^2 d\mathbf{r}} \approx \frac{1}{M_{\text{conf}}} \sum_{i=1}^{M_{\text{conf}}} E_L[\mathbf{r}_i, \alpha]$$

For the secondary geometry $\{\mathbf{R}_n^{\text{sec}}\} = \beta$

(Notice: $\{\mathbf{R}_n^{\text{sec}}\}$ enters $\hat{\star}_T$ and H)

$$E_{\text{VMC}}[\beta] = \frac{\int |\Psi_T[\alpha, \mathbf{r}]|^2 E_L[\beta, \mathbf{r}] \omega[\alpha, \beta, \mathbf{r}] d\mathbf{r}}{\int |\Psi_T[\alpha, \mathbf{r}]|^2 \omega[\alpha, \beta, \mathbf{r}] d\mathbf{r}} \approx \frac{\frac{1}{M} \sum_{i=1}^M (E_L[\beta, \mathbf{r}_i] \omega[\alpha, \beta, \mathbf{r}_i])}{\sum_{i=1}^M \omega[\alpha, \beta, \mathbf{r}_i]}$$

$$\text{with } \omega[\alpha, \beta, \mathbf{r}_i] = \frac{\Psi[\beta, \mathbf{r}_i]^2}{\Psi[\alpha, \mathbf{r}_i]^2}$$

¹ C. Filippi, C. Umrigar, *Interatomic Forces and Correlated Sampling in QMC*, World Scientific, (6/2001)

Forces via CS in VMC

Further Improvements:

Space-Warp Transformation

Idea: improve the set of reference configs $\{\mathbf{r}_i^{\text{ref}}\}$ via a smart trafo to a secondary set of configs $\{\mathbf{r}_i^{\text{sec}}\}$

Choose: $\mathbf{r}_i^{\text{sec}}[\mathbf{r}_i] = \mathbf{r}_i + \sum_n^{N_{\text{atom}}} (\mathbf{R}_n^{\text{sec}} - \mathbf{R}_n^{\text{ref}}) \cdot W_n[\mathbf{r}_i, \{\mathbf{R}_m\}]$

$$\text{with } W_n[\mathbf{r}_i, \{\mathbf{R}_m\}] := \frac{(\mathbf{r}_i - \mathbf{R}_n)^{-4}}{\sum_{m=1}^{N_{\text{atom}}} (\mathbf{r}_i - \mathbf{R}_m)^{-4}}$$

a) replace \mathbf{r}_i by $\mathbf{r}_i^{\text{sec}}$ and

b) write $\mathbf{r}_i^{\text{sec}}[\mathbf{r}_i]$ in terms of \mathbf{r}_i using space warp trafo

$$E_{\text{VMC}}[\beta] = \frac{\int |\Psi_T[\alpha, \mathbf{r}^{\text{sec}}(\mathbf{r}^{\text{ref}})]|^2 E_L[\beta, \mathbf{r}^{\text{sec}}(\mathbf{r}^{\text{ref}})] \omega[\alpha, \beta, \mathbf{r}^{\text{sec}}(\mathbf{r}^{\text{ref}})] \cdot |J(\mathbf{r}^{\text{ref}})| d\mathbf{r}^{\text{ref}}}{\int |\Psi_T[\alpha, \mathbf{r}^{\text{sec}}(\mathbf{r}^{\text{ref}})]|^2 \omega[\alpha, \beta, \mathbf{r}^{\text{sec}}(\mathbf{r}^{\text{ref}})] \cdot |J(\mathbf{r}^{\text{ref}})| d\mathbf{r}^{\text{ref}}}$$

Reoptimize HF Orbitals for $\Psi_T(\mathbf{R}^{\text{sec}})$

Forces via CS in DMC

CS in DMC

1. drift/diffuse/branch primary walker $\{\mathbf{r}\}$
2. Get secondary walker $\{\mathbf{r}^s\}$ via space-warp trafo
Notice: $\{\mathbf{r}^s\}$ is effectively proposed by wrong dynamics by using $G_{\text{drift/diff}}(\mathbf{R}, \mathbf{R}', \tau)$ and p instead of $G_{\text{drift/diff}}^s(\mathbf{R}^s, \mathbf{R}'^s, \tau^s)$ and p^s
3. Correct wrong dynamics by multiplying weights of $\{\mathbf{r}^s\}$ by

$$\frac{G_{\text{drift/diff}}^s(\mathbf{R}^s, \mathbf{R}'^s, \tau^s)}{G(\mathbf{R}', \mathbf{R}, \tau)} \cdot \frac{p^s}{p} \cdot e^{S^s(\mathbf{R}^s, \mathbf{R}', \tau^s)} \quad \text{with} \quad S(\mathbf{R}', \mathbf{R}, \tau) = -1/2(E_L(\mathbf{R}) - E_L(\mathbf{R}') - 2E_T)\tau$$

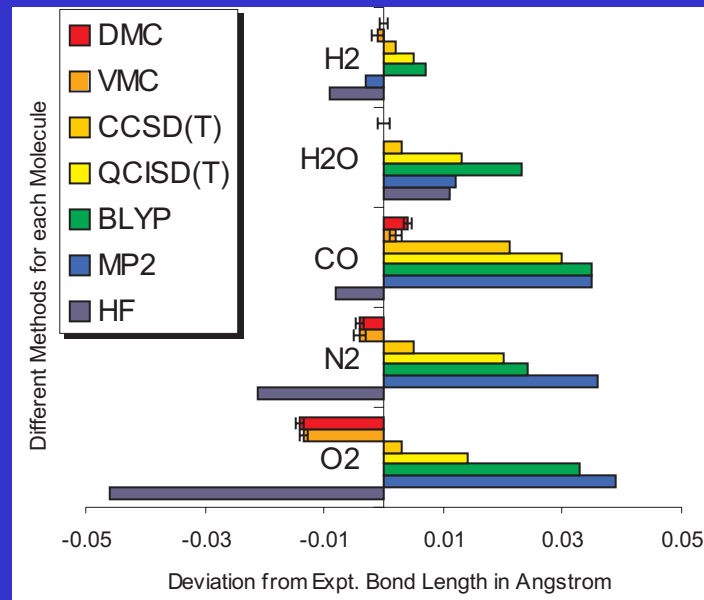
4. Step 3 introduces large fluctuations
Instead of Step 3: approximate secondary weights by

$$w^s = w \prod_{N_{\text{project}}} \frac{e^{S^s(\mathbf{R}^s, \mathbf{R}', \tau^s)}}{e^{S(\mathbf{R}', \mathbf{R}, \tau)}}$$

In theory: N_{project} as large as possible

In practice: $N_{\text{project}} \sim 50$ to minimize fluctuations

Some Results and Critique



A. Badinski, Diplomarbeit, TU Berlin (2003)

Pros:

- Agreement with experiment relatively good
- error bars small

Cons:

- Limited to very small systems

Forces via Renormalization (VMC)

Idea: renormalize HFT force to reduce infinite variance

Recall: $F_L = -\nabla H - 2(H - E_{VMC}) \frac{\Psi_T'}{\Psi_T}$

Reduce derivation of last eq. by substituting $H \rightarrow \frac{H\Psi_T}{\Psi_T}$
 Similar as before, one gets

$$\tilde{F}_L = -\nabla H_T - \frac{(H - E_L)\Psi_T'}{\Psi_T} - 2(E_L - E_{VMC}) \frac{\Psi_T'}{\Psi_T} \quad \text{Assaraf eq.(19) with } \tilde{O} = -\tilde{F}_L \quad (6)$$

Hence $\tilde{F}_L = F_L - \frac{(H - E_L)\Psi_T'}{\Psi_T}$

$$O = \nabla H = -F_L^{HFT}$$

The last eq. can be interpreted as a trafo of the local force F_L .
 It can actually be shown that this trafo can be generalized to

$$\tilde{F}_L = F_L - \frac{(H - E_L)\alpha}{\Psi_T}$$

with α being any (reasonable) fct.

Note: $\langle \tilde{F}_L \rangle_{|\Psi|^2} = \langle F_L \rangle_{|\Psi|^2}$ holds (F_L is “renormalized”)

Proof: $\langle F_T \rangle = -\langle \tilde{F}_T \rangle = \langle \Psi_T | \left(\frac{(H - E_L)\alpha}{\Psi_T} \right) | \Psi_T \rangle = \int \Psi_T \frac{(H\alpha) - E_L\alpha}{\Psi_T} \Psi_T = \int \frac{\Psi_T}{\Psi_T} (H\Psi_T)\alpha + \Psi_T \frac{(H\Psi_T)}{\Psi_T} \alpha = 0$

QED.

Now, see that proposed trafo \tilde{F}_L reduces variance (to zero in the limit of $\alpha = \Psi_T' = \Psi_0'$)

¹ R. Assaraf, M. Caffarel, Phys. Rev. Lett. 23 4682 (1999)

Forces via Renormalization (VMC)

Recall: Ψ is exact (satisfies the Schrödinger equation) \Leftrightarrow the local energy has zero variance (with $E_L = E_0$)

Theorem: $\Psi(\lambda)$ and $\Psi'(\lambda)$ are exact (the Schrödinger eq. and its first derivative with respect to a parameter hold) \Leftrightarrow the local energy and transformed local force have zero variance and the **zero-variance equations** hold

$$E_L = \langle E_L \rangle_{|\Psi|^2} \quad (7)$$

$$\tilde{F}_L = \langle \tilde{F}_L \rangle_{|\Psi|^2} \quad (8)$$

Further, $\Psi_0, \Psi_0', E_0, \tilde{F}_L$ are a unique solution if H is non-degenerate. Assaraf eq.(33)

Eq.(7) is ordinary Schrödinger equation.

Eq.(8) is obtained by taking the derivative of the Schrödinger eq. wrt λ .

Forces via Renormalization (VMC)

Proof: $\nabla([H - E_{VMC}]\Psi_T) = 0 \Leftrightarrow (\nabla H - \nabla E_{VMC})\Psi_T + (H - E_{VMC})\Psi'_T = 0$

eq.(2)
 $\Leftrightarrow (-F_L - 2 \frac{(E_L - E_{VMC})\Psi'_T}{\Psi_T} + \langle F_L \rangle)\Psi_T + (H - E_{VMC})\Psi'_T = 0$

Now, in the limit of $\Psi_T = \Psi_0$:

$$\Leftrightarrow (-F_L + \langle F_L \rangle)\Psi_T + (H - E_{VMC})\Psi'_T = 0 \quad \text{Assaraf eq.(34)}$$

$$\Leftrightarrow F_L = \langle F_L \rangle + \frac{(H - E_{VMC})\Psi'_T}{\Psi_T} \quad (9)$$

from which it follows: $F_L \neq \langle F_L \rangle_{|\Psi|^2}$ (even if $\Psi_T = \Psi_0$!!!)

Now, to see that \tilde{F}_L has zero variance, just plug \tilde{F}_L from eq.(6) in eq.(9) for $\alpha = \Psi'$

$$\Leftrightarrow \tilde{F}_L + \frac{(H - E_L)\Psi'_T}{\Psi_T} = \langle F_L \rangle + \frac{(H - E_{VMC})\Psi'_T}{\Psi_T}$$

$$\Leftrightarrow \tilde{F}_L = \langle \tilde{F}_L \rangle + \frac{(E_L - E_{VMC})\Psi'_T}{\Psi_T}$$

which means that \tilde{F}_L has zero variance in the limit of $\Psi_T = \Psi_0$. QED

Conclusion: Ψ_0 and Ψ_0' are exact \Leftrightarrow eq.(7) and eq.(8) are satisfied. Hence, the zero-variance equations determine a unique solution $\Psi_0, \Psi_0', E_0, \tilde{F}_L$.

Forces via Renormalization (VMC)

Back to VMC, in theory one would then sample

$$\tilde{F}_L = F_L^{HFT} - \frac{(H - E_L)\Psi'_T}{\Psi_T} - \frac{(E_L - \langle E_L \rangle)\Psi'_T}{\Psi_T} \quad \leftarrow \text{Problem: Derivative of } \Psi_T$$

Possible Solutions:

1. Make a good ansatz for α rather than choosing the exact Ψ'_T ,

$$\alpha := Q \Psi_T \quad \text{with} \quad Q = Z_A \sum_{i=1}^{n_{ele}} \frac{r_i - R}{|r_i - R|}$$

$$\text{It follows that} \quad \frac{(H - E_L)\alpha}{\Psi_T} = Z_A \sum_{i=1}^{n_{ele}} \frac{r_i - R}{|r_i - R|^3} - \frac{\nabla Q \cdot \nabla \Psi_T}{\Psi_T}$$

Clearly, this would then remove the singular part responsible for the infinite variance

2. Approximating Ψ'_L with a finite-difference form of Ψ_L

$$\alpha := \frac{\Psi_T[\mathbf{R} + \Delta\mathbf{R}, \{c_k[\mathbf{R} + \Delta\mathbf{R}]\}] - \Psi_T[\mathbf{R}, \{c_k[\mathbf{R}]\}]}{\Delta\mathbf{R}}$$

Thereby, $\{c_k\}$ denotes a set of parameters entering Ψ_T . And $\Delta\mathbf{R}$ can be interpreted as an additional parameter to minimize the fluctuation!

3. Derive an analytic expression for Ψ'_L ,

Forces via Renormalization (VMC)

Solution 1

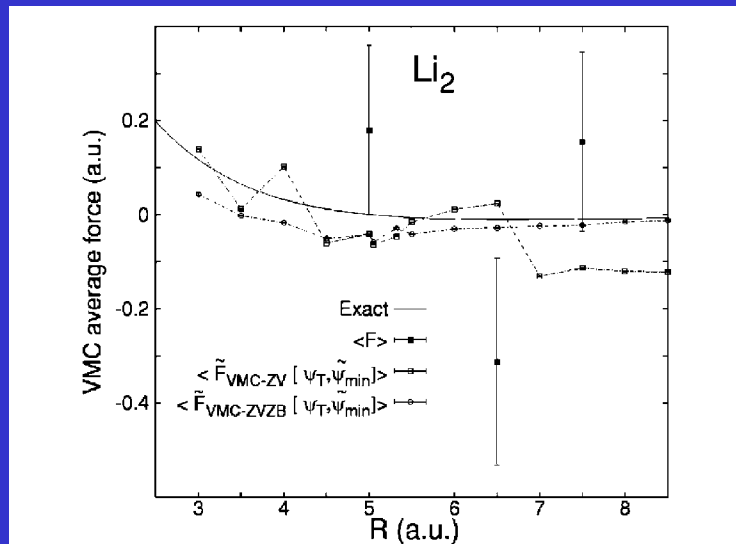


FIG. 4. Various VMC average forces for Li_2 . Closed squares with large error bars: $\langle F \rangle$, Eq. (67). Open squares joined by the dashed line: $\langle \tilde{F}_{\text{VMC-ZV}}[\psi_T, \tilde{\psi}_{\min}] \rangle$, Eq. (72). Circles joined with the dotted line: $\langle \tilde{F}_{\text{VMC-ZVZB}}[\psi_T, \tilde{\psi}_{\min}] \rangle$, Eq. (73). Solid line: exact nonrelativistic force curve.

Solution 2

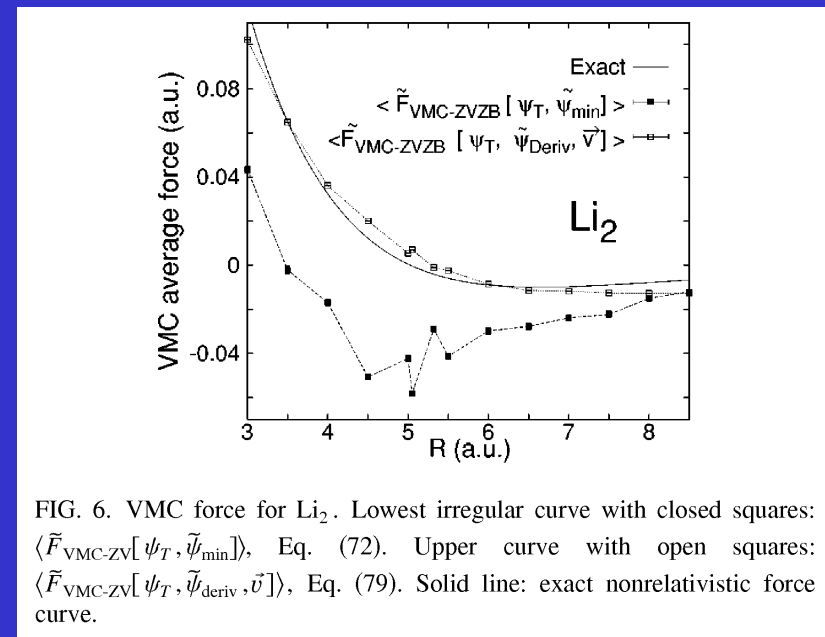


FIG. 6. VMC force for Li_2 . Lowest irregular curve with closed squares: $\langle \tilde{F}_{\text{VMC-ZV}}[\psi_T, \tilde{\psi}_{\min}] \rangle$, Eq. (72). Upper curve with open squares: $\langle \tilde{F}_{\text{VMC-ZV}}[\psi_T, \tilde{\psi}_{\text{deriv}}, \vec{v}] \rangle$, Eq. (79). Solid line: exact nonrelativistic force curve.

Solution 1: $\alpha = Q \Psi_T$ is still not good enough

Solution 2: the finite difference method seems already better

¹ R. Assaraf, M. Caffarel, J. Chem. Phys. 119 10536 (2003)

Forces via Renormalization (DMC)

Mixed Estimator in DMC

In a similar derivation as previously in VMC, one gets for the mixed estimator

$$\tilde{F}_L = -\nabla H_T - \frac{(H - E_L)\Psi_T'}{\Psi_T} - (E_L - E_0)\left(\frac{\Psi_T'}{\Psi_T} + \frac{\Psi_0'}{\Psi_0}\right) \quad \leftarrow \text{Same Problem as before in DMC !}$$

Assaraf eq.(52)

Proposed Solution: make the following approximation

$$\frac{\Psi_T'}{\Psi_T} = \frac{\Psi_0'}{\Psi_0}$$

whence

$$\tilde{F}_L = -\nabla H_T - \frac{(H - E_L)\Psi_T'}{\Psi_T} - 2(E_L - E_0)\frac{\Psi_T'}{\Psi_T} \quad (10)$$

Note: the only difference with the earlier VMC estimator lies in the value of the average energy $E_0 = \langle E_L \rangle$.

“Residual systematic error“: in the limit of exact nodes the mixed estimator is not exact in eq.(10) - as it is in the case of the energy - since H acts on Ψ_T' .

Solution: it can be shown that the **pure estimator** partially reduces that error.

“Error“ here means that the reduction of the variance is not optimally!

Forces via Renormalization (DMC)

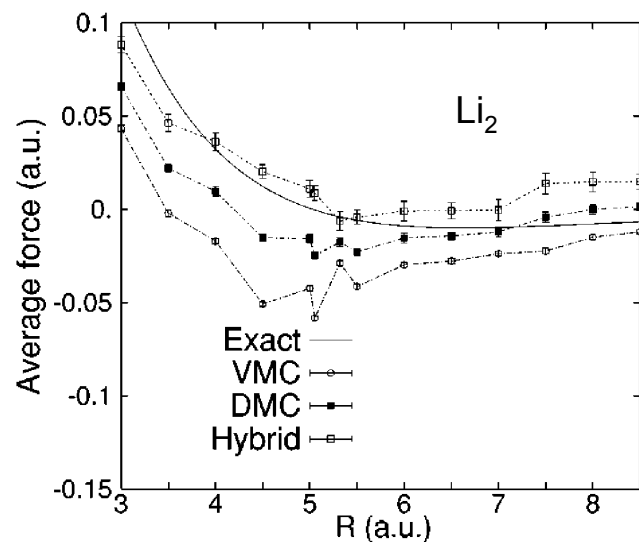


FIG. 5. Li₂ molecule. Average forces using $\tilde{F}_{\text{ZVZB}}(\tilde{\psi}_T, \tilde{\psi}_{\text{min}})$, Eqs. (73)–(75). VMC average: lowest curve with open circles. DMC average: intermediate curve with closed squares. Hybrid average: highest curve with open squares. Solid line: exact nonrelativistic force curve. Dashed lines between QMC results are a simple linear interpolation to guide the eye.

Ref.: R. Assaraf, M. Caffarel, J. Chem. Phys. 119 10536 (2003)

Forces via Renormalization (DMC)

Using variance minimization

TABLE I. VMC, DMC, and hybrid estimates of the equilibrium geometry R_e (a.u.) and harmonic frequency ω_e (cm^{-1}). The atomic isotopic masses taken^a are 1.007 825 035 amu for ¹H and 7.016 003 0 amu for ⁷Li.

	H ₂	LiH	Li ₂
R_e (VMC)	1.463(12)	3.111(17)	5.346(27)
R_e (DMC)	1.426(13)	3.056(6)	5.200(16)
R_e (hybrid)	1.395(15)	3.001(15)	5.068(27)
R_e (Expt.) ^b	1.401	3.015	5.051
ω_e (VMC)	4194(130)	1559(40)	366(9)
ω_e (DMC)	4432(165)	1549(22)	373(5)
ω_e (hybrid)	4662(205)	1519(31)	387(8)
ω_e (Expt.) ^b	4395.2	1405.65	351.4

Ref.: Assaraf, M. Caffarel, J. Chem. Phys. 119 10536 (2003)

In both Ref. date, the forces are extracted by a least square fit of the Morse potential (and its derivative)

$$V(r) = D_e [1 - e^{-\beta(r-r_e)}]^2$$

to binding energy (and its forces) ?

Question: How general is this approach if we are interested in forces in larger systems ?

Using energy minimization

TABLE I. E_0 , r_e , ω_e , and $\omega_e r_e$ for LiH-HF and CO obtained from VMC and DMC calculations and experimental data.

		E_0 (hartree)	r_e (bohr)	ω_e (cm^{-1})	$\omega_e r_e$ (cm^{-1})
LiH	VMC	-8.063	3.038(1)	1402(4)	25.7(1)
	DMC	-8.070	3.020(1)	1417(4)	24.8(1)
	Exp	-8.070	3.015	1406	23.2
BeH	VMC	-15.235	2.519(1)	2141(4)	36.6(2)
	DMC	-15.246	2.515(1)	2134(4)	38.5(2)
	Exp	-15.248	2.537	2061	36.3
BH	VMC	-25.254	2.370(1)	2332(5)	47.0(2)
	DMC	-25.275	2.386(1)	2369(5)	47.3(2)
	Exp	-25.289	2.329	2367	49.4
CH	VMC	-38.438	2.097(1)	2961(6)	77.2(3)
	DMC	-38.463	2.112(1)	2898(6)	71.8(3)
	Exp	-38.490	2.116	2858	63.0
NH	VMC	-55.178	1.941(1)	3415(7)	104.3(4)
	DMC	-55.206	1.962(1)	3253(7)	92.0(4)
	Exp	-55.247	1.958	3282	78.4
OH	VMC	-75.687	1.820(1)	3854(7)	101.2(4)
	DMC	-75.720	1.843(1)	3690(7)	91.4(4)
	Exp	-75.778	1.832	3738	84.9
HF	VMC	-100.407	1.729(1)	4206(9)	89.9(4)
	DMC	-100.442	1.755(1)	4040(9)	82.4(4)
	Exp	-100.531	1.733	4138	89.9
CO	VMC	-113.176	2.095(1)	2539(16)	21.1(3)
	DMC	-113.286	2.116(2)	2251(26)	14.2(3)
	Exp	-113.377	2.132	2170	13.3

Ref.: M. Lee et al., J. Chem. Phys. 122 244103 (2005)

Side Note: Density Matrix in QMC

General Definition

$$\rho(\mathbf{r}_i, \mathbf{r}'_i) = \int \Psi(\mathbf{r}_1, \dots, \mathbf{r}_i, \dots, \mathbf{r}_N) \Psi^*(\mathbf{r}_1, \dots, \mathbf{r}'_i, \dots, \mathbf{r}_N) d\mathbf{r}_1 \dots d\mathbf{r}_{i-1} d\mathbf{r}_{i+1} \dots d\mathbf{r}_N$$

Expand it

$$\rho(\mathbf{r}_n, \mathbf{r}'_n) = \sum_{i,j} \rho_{ij} \phi_i(\mathbf{r}_n) \phi_j^*(\mathbf{r}'_n)$$

with $\rho_{ij} = N \int \phi_i^*(\mathbf{r}_n) \phi_j(\mathbf{r}'_n) \frac{\Psi(\dots, \mathbf{r}'_n, \dots)}{\Psi(\dots, \mathbf{r}_n, \dots)} |\Psi(\dots, \mathbf{r}_n, \dots)|^2 d\mathbf{r}'_n d\mathbf{r}$

or sampled in QMC¹ using pure distribution

$$\rho_{ij} = \left\langle \sum_{n=i} \int \phi_i^*(\mathbf{r}_n) \phi_j(\mathbf{r}'_n) \frac{\Psi(\dots, \mathbf{r}'_n, \dots)}{\Psi(\dots, \mathbf{r}_n, \dots)} d\mathbf{r}'_n \right\rangle_{|\Psi|^2}$$

Proof: $\chi^2 = \int d\mathbf{r}_n d\mathbf{r}'_n [\rho(\mathbf{r}_n, \mathbf{r}'_n) - \sum_{i,j} \rho_{ij} \phi_i(\mathbf{r}_n) \phi_j(\mathbf{r}'_n)]^2 \quad \frac{d\chi^2}{d\rho_{ij}} = 0 \dots\dots\dots$

Generalization to non-orthogonal basis sets possible and necessary for Gaussian basis set !!!

Forces via Projection

Idea: Projecting out the s component of the charge density reduces the variance of the HFT estimator

Motivation: The force from the s component of the charge averages out to zero due to its spherical symmetry, e.g. the previous hydrogen atom

$$F^{HFT}(R=0) = \int_0^\infty dr \frac{1}{r^2} e^{-2\alpha r} \times \int_\Omega d\Omega \frac{\mathbf{r}}{|\mathbf{r}|^3} = \text{const} \times 0 = 0$$

Derivation: Differentiate between electrons that are closer to the nucleus than R and those that are further away, $R \sim 1$ Bohr

$$F^{HFT} = F^{>R} + F^{<R}$$

$F^{<R}$ will be calculated ordinarily using the HFT estimator.

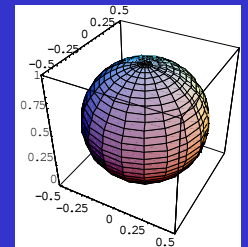
To rewrite $F^{<R}$, introduce a force density f

$$f(r) = Z \int d\Omega \rho(r, \phi, \varphi) \nabla H \quad \text{with} \quad F^{<R} = \int_0^R f(r) dr$$

1st Crucial Step: Require $f(r)$ to be a smooth fct. of r that tends to 0 linearly as $r \propto 0$. Therefore, expand it in the interval (0,R) with a polynomial

$$f^{\text{fit}}(r) = \sum_{k=1}^M a_k r^k$$

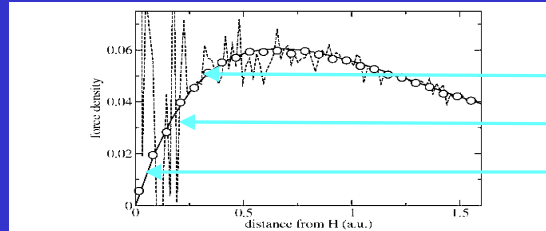
s-component of charge density



¹ S. Chiesa, S. Zhang Phys. Rev. Lett. 94 36404-1 (2005)

Forces via Projection

Look at the force density for H in the LiH molecule



DMC using antithetic variates

DMC using bare estimator

Hartree Fock

FIG. 1. Force density along the z direction for the H atom in LiH. The bond is along the z axis, with a length of 3.316 bohrs. The continuous black curve is calculated from the Hartree-Fock orbitals. The dashed line is the estimate of f_z using the bare estimator. Circles are obtained in an identical QMC simulation using the antithetic sampling technique outlined in the text.

Ref.: Chiesa, et. al. (see before)

2nd Crucial Move: determine the coefficients a_k by minimizing

$$\chi^2 = \int_0^R dr [f_i(r) - f^{\text{fit}}_i(r)]^2 r^2$$

in particular after optimization w.r.t. all a_k , we get

$$a_k = \sum_{j=1}^M S_{jk}^{-1} A_j \quad \text{with} \quad S_{jk} = \frac{R^{m+j+k+1}}{m+j+k+1} \quad A_j = Z \sum_{i=1}^N \int r_i^{2+j} \rho(\mathbf{r}_i) \frac{r_i}{|\mathbf{r}_i|^3}$$

Since A_j can be sampled using a pure distribution,

$$A_j = Z \left\langle \sum_{i=1}^N r_i^{m+j} \frac{r_i}{|\mathbf{r}_i|^3} \Theta(R - r_i) \right\rangle_{|\psi|^2}$$

we get the final expression

$$F^{<R} = \int_0^R f(r) dr \cong \int_0^R f^{\text{fit}}(r) dr = \sum_{k=1}^M a_k \int_0^R r^k dr \quad \Leftrightarrow \quad F^{<R} = Z \sum_{k=1}^M \sum_{j=1}^M S_{jk}^{-1} \left\langle \sum_{i=1}^N r_i^{2+j} \frac{r_i}{|\mathbf{r}_i|^3} \Theta(R - r_i) \right\rangle_{|\psi|^2} \frac{R^{k+1}}{k+1}$$

Forces via Projection

TABLE I. Equilibrium distances in Å. Experimental, CCSD(T), and B3LYP values were taken from Ref. [10]. The CCSD(T) and the B3LYP results were obtained using the cc-pVTZ basis set with the exception of LiH where the 6-311G* set was used. PBE results [11] were all obtained using the aug-cc-pVTZ basis set.

	QMC	Exp.	CCSD(T)	B3LYP	PBE
H ₂	0.7419(4)	0.741	0.743	0.743	0.751
LiH	1.592(4)	1.596	1.618	1.595	1.606
CH ₄	1.091(1)	1.094	1.089	1.088	1.096
NH ₃ (N-H)	1.009(2)	1.012	1.014	1.014	1.023
NH ₃ (H-H)	1.624(2)	1.624	1.616	1.624	1.634
H ₂ O (O-H)	0.959(2)	0.956	0.959	0.961	0.971
H ₂ O (H-H)	1.519(3)	1.517	1.508	1.520	1.531
HF	0.919(1)	0.918	0.917	0.923	0.932

Difference of 1st
and 2nd column :

0.001(1)
0.004(4)
0.003(1)
0.003(2)
0.000(2)
0.003(2)
0.002(3)
0.001(1)

Ref.: S. Chiesa, S. Zhang Phys. Rev. Lett. 94 36404-1 (2005)

Geometries calculated with QMC agree well with the experimental bond length !

Note: pure sampling has been used (i.e. future walking)

Our Idea (for all electron)

Similar Motivation: Project out parts of the Density which is not relevant (disturbing)

Start with All Electron case: (consider nucleus $R=(0,0,0)$)

$$\begin{aligned}
 -F^{HFT} &= \langle \Psi | \nabla_R H | \Psi \rangle \quad \text{with} \quad \nabla_R H = \sum_i \left(\nabla_{\mathbf{R}} v_i^{e-ion}(\mathbf{r}_i) \right) + II(ion-ion) \\
 &= \int \sum_i \Psi^2(\mathbf{r}_1, \dots, \mathbf{r}_N) (\nabla_{\mathbf{R}} v_i^{e-ion}(\mathbf{r}_i)) d\mathbf{r} + II \\
 &= \frac{1}{N} \int \sum_i \rho(\mathbf{r}_i, \mathbf{r}_i) (\nabla_{\mathbf{R}} v_i^{e-ion}(\mathbf{r}_i)) d\mathbf{r}_i + II
 \end{aligned}$$

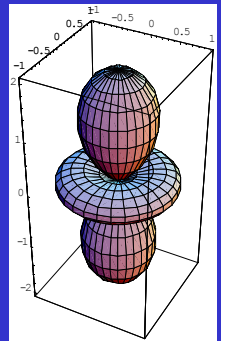
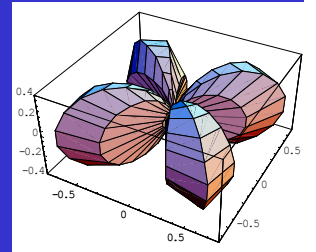
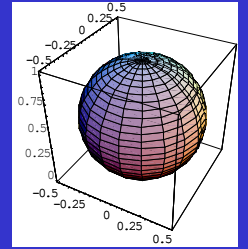
Now, expand ρ in Spherical Harmonics:

$$F^{HFT} = \frac{1}{N} \sum_i \int \sum_{l=0}^{\infty} \sum_{m=-l}^l R_{lm}(r_i) Y_{lm}(\Omega_i) (\nabla_{\mathbf{R}} v_i^{e-ion}(\mathbf{r}_i)) d\mathbf{r}_i + II$$

$$\text{with} \quad R_{lm}(r_i) = \int \rho(r_i, \Omega_i) Y_{lm}^*(\Omega_i) d\Omega_i \quad (11)$$

$$= \frac{Z_0}{N} \sum_i \sum_{l=0}^{\infty} \sum_{m=-l}^l \int dr_i \frac{1}{|r_i|^2} R_{lm}(r_i) \int d\Omega_i \frac{\mathbf{r}_i}{|r_i|} Y_{lm}(\Omega_i) + II \quad (12)$$

$$\text{with} \quad \nabla_{\mathbf{R}} v_i^{e-ion}(\mathbf{r}_i) = Z_0 \frac{\mathbf{r}_i}{|r_i|^3}$$



Our Idea (for all electrons)

From the Identity:
$$\int \frac{r_i}{|r_i|} Y_{lm}(\Omega_i) d\Omega_i = \begin{pmatrix} \sqrt{2\pi/3}(-\delta_{1l}\delta_{1m} + \delta_{1l}\delta_{-1m}) \\ i\sqrt{2\pi/3}(-\delta_{1l}\delta_{1m} - \delta_{1l}\delta_{-1m}) \\ 2\sqrt{\pi/3} \delta_{1l}\delta_{0m} \end{pmatrix}$$

Hence, only three R_{lm} -terms need to be evaluated: $R_{1\oplus 1}$ and R_{10}

$$R_{lm}(r_i) = \int \rho(r_i, \Omega_i) Y_{lm}(\Omega_i) d\Omega_i$$

And since ρ is expanded in Gaussians

$$\chi_{\zeta nlm}(r, \varphi, \phi) = N Y_{lm}(\varphi, \phi) r^{(2n-2-l)} e^{-\zeta r^2}$$

the spherical Integral in eq.(11) is just a product of three Spherical Harmonics and can be evaluated analytically

Finally, the radial integration over r_i needs to be performed to get F^{HFT} in eq.(12), should analytically be possible

Our Idea (for Pseudopotentials)

Recall from before :

$$\begin{aligned}
 - \langle F_L^{HFT} \rangle &= \langle \Psi_0 | \nabla_R H_{loc} + \nabla_R V_{nloc} | \Psi_0 \rangle \\
 &= \underbrace{\int \Psi_0^2 \nabla_R \sum_i v_{loc, l_{max}+1, i} dr}_{=: - \langle F_{loc} \rangle} + II + \underbrace{\int \Psi_0(\mathbf{r}_1, \dots, \mathbf{r}_i, \dots, \mathbf{r}_N) \sum_i \langle \mathbf{r}_i | \nabla_R v_{nloc} | \mathbf{r}_i' \rangle \Psi_0(\mathbf{r}_1, \dots, \mathbf{r}_i', \dots, \mathbf{r}_N) d\mathbf{r}}_{=: - \langle F_{nloc} \rangle}
 \end{aligned}$$

Recall local Part $\langle F_{loc} \rangle$ via direct sampling

$$- \langle F_{loc} \rangle = \left\langle \sum_{i=1}^N \sum_{\alpha=1}^M \left(\frac{d}{dr_{i\alpha}} v_{loc, l_{max}+1}(r_{i\alpha}) \frac{\mathbf{R}_\alpha - \mathbf{r}_i}{|\mathbf{R}_\alpha - \mathbf{r}_i|} \right) \right\rangle_{|\Psi|^2} + II(ion)$$

Now, get **local Part $\langle F_{loc} \rangle$ via Density Matrices**

Recall, $v_{loc, l_{max}, i}$ is a single-electron operator; therefore rewrite

$$- \langle F_{loc} \rangle = \sum_{i=1}^N \int \rho(\mathbf{r}_i, \mathbf{r}_i) \left(\frac{d}{dr_{i\alpha}} v_{loc, l_{max}+1}(r_{i\alpha}) \frac{\mathbf{R}_\alpha - \mathbf{r}_i}{|\mathbf{R}_\alpha - \mathbf{r}_i|} \right) d\mathbf{r}_i + II(ion)$$

The further steps are in analogy to the previous all electron case: expand ρ in Spherical Harmonics and reduce the infinite expansion to the same three R_{lm} - terms R_{11} , R_{1-1} and R_{10} .

Our Idea (for Pseudopotentials)

Now, get **non-local Part** $\langle F_{loc} \rangle$ **via Density Matrices**

Similar as for the local component

$$\begin{aligned} -\langle F_{nloc} \rangle &= \int \Psi_0(r_1, \dots, r_i, \dots, r_N) \sum_i \langle r_i | \nabla_R v_{nloc} | r_i' \rangle \Psi_0(r_1, \dots, r_i', \dots, r_N) dr \\ &= \sum_i \int \rho(r_i, r_i') \langle r_i | \nabla_R v_{nloc} | r_i' \rangle dr_i dr_i' \end{aligned}$$

Only difference is that ρ is now depending on r_i and r_i'

Now, follow similar procedure as before, i.e. expand ρ AND $\langle r_i | \nabla_R v_{nloc} | r_i' \rangle$ in Spherical Harmonics.

For the l components in the pseudopotential, we get the following number of $R_{lml'm}$ terms when simplifying the integrals over Spherical Harmonic

for $l = 1$: additional 21 terms

for $l = 2$: additional 19 terms

for $l = 3$: additional 19 terms

for $l = 3$: additional 91 terms

See my notes for excessive expressions

Conclusions

- Forces in QMC are very **challenging** and are by far not a routine tool yet
- Forces in QMC is quite an **interdisciplinary field** requiring new ways of sampling (e.g. Reptation MC) and better ways of optimizing trial wavefunctions
- Pseudopotentials seem to be one way of removing the infinite variance, however, it appears to be computationally still rather demanding. Therefore, there is a need for alternative ways....
- Different Approaches exist but it is not clear yet which one is the most promising one; the projection technique suggested for all electrons seems to produce results closest to the experiment.
- *Study questions and next things to do:*
 - *Will our good results for local pseudopotentials (H_2) generalize for bigger systems using non-local pseudopotentials ?*
 - *How good/reliable/expensive will Reptation MC be to sample pure distributions ?*
 - *Is our proposed generalization of removing higher angular momenta via projection doable and how good will it be ?*